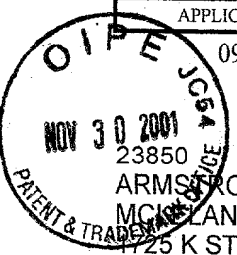




## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D C 20231  
www.uspto.gov



| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/939,716         | 08/28/2001          | Kazushige Yonenaga    | 011070                 |

ARMS, YONG, WESTERMAN, HATTORI,  
MCKENZIE & NAUGHTON, LLP  
1725 K STREET, NW, SUITE 1000  
WASHINGTON, DC 20006

CONFIRMATION NO. 2708

## FORMALITIES LETTER



\*OC000000006823129\*

Date Mailed: 10/01/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$432.
  - \$162 for 9 total claims over 20.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1272.**

12/13/2001 HZBHE 09000044 05939716  
 01 FD:103 162.00 EP  
 02 FD:103 740.00 EP  
 03 FD:103 130.00 EP  
 04 FD:104 560.00 EP

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

*A copy of this notice **MUST** be returned with the reply.*

09939716-113001

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

# THE



*Sector/JP*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: **YONENAGA, Kazushige et al**

**ATTN: BOX MISSING PARTS**

Serial No.: **09/939,716**

Group Art Unit: **2872**

Filed: **August 28, 2001**

P.T.O. Confirmation No.: **2708**

For: **OPTICAL TRANSMISSION SYSTEM**

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

**Commissioner for Patents  
Washington, D.C. 20231**

November 30, 2001

Dear Sir:

In response to the Notice to File Missing Parts of Application dated **October 1, 2001**, enclosed for filing are an executed Declaration and Power of Attorney, and an abstract or the technical disclosure according to 37 CFR 1.72(b) of the above-identified patent application.

Also enclosed is our check in the amount of **\$1,312.00**, for the government filing fee of **\$740.00**, **\$280.00** for multiple dependent claims, **\$162.00 for extra claims**, and the surcharge fee of **\$130.00** for filing the late Declaration. In the event that any additional fees are due with this paper, please charge Deposit Account No. 01-2340.

In the event that this response is not timely filed, applicants hereby petition for an appropriate extension of time. The fees for any such extension may be charged to our Deposit Account No. 01-2340. This paper is filed in triplicate.

Respectfully Submitted,

ARMSTRONG, WESTERMAN, HATTORI,  
McLELAND & NAUGHTON, LLP

Mel R. Quintos  
Attorney for Applicants  
Reg. No. 31,898

MRQ/sks

Atty. Docket No. **011070**  
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(202) 659-2930



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